

10/550657

JC20 Rec'd PCT/PTO 26 SEP 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Klug Christopher A.	
Serial No.: Newly Filed Application	
Filed: September 26, 2005	NATIONAL PHASE APPLICATION OF PCT/US2004/08607 UNDER 35 USC 371 TITLED REGULATION OF SELF- RENEWAL IN STEM CELLS
Int. Filing Date: March 22, 2004, 2004	
Attorney Docket No.: P63235WO01GP	

Commissioner for Patents
Mail Stop PCT
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

- under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- under 37 CFR 1.97(c) together with either a:
 Certification under 37 CFR 1.97(e), or
 a \$240.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- under 37 CFR 1.97(d) together with a:
 Certification under 37 CFR 1.97(e), and
 a petition under 37 CFR 1.97(d)(2)(ii), and
 a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation listing references of which applicant(s) are aware, which applicant(s) believe(s) may be material to the

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examination of this application and for which there may be a duty to disclose in accordance with
37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,



T. Gregory Peterson
Attorney for Applicant
Reg. No. 45,587

Telephone No.: 205-521-8084

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Complete if Known
AG/550657

Application Number	10/550657
Filing Date	9-26-2005
First Named Inventor	Klug, Christopher A.
Art Unit	n/a
Examiner Name	n/a

Sheet 1 of 2

Attorney Docket Number P63235WO01GP

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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Sheet

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of

2

Application Number	n.a. 10/550657
Filing Date	09-26-2005
First Named Inventor	Klug
Art Unit	n/a
Examiner Name	n/a
Attorney Docket Number	

Attorney Docket Number P63235WO01GP

OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	MULLOY et al.; The AML1-ETO fusion protein promotes the expansion of human hematopoietic stem cells,	
		pp. 15-23, Blood, Vol. 99, No. 1, 2003	
	2	LUTTERBACH et al.; ETO, a target of t(8;21) in acute leukemia, interacts with the N-CoR and mSIN3	
		corepressors, pp7176-7184, Mol. Cell. Biol., Vol. 18, No. 12, 1998	

Examiner Signature	Date Considered

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